



1ères rencontres **EPOS-FR**

*Saint-Jean-Cap-Ferrat, 7-10 novembre 2023*

SALLE DU PARC (50 places)

9 novembre

**Initiation à l'utilisation du code FMNEAR**

**B. Delouis**

23 participants

**Tour d'horizon rapide sur la méthode, quelques précautions d'usage, et son utilisation**

**Format des données en entrée et modèle de vitesse**

**Premiers retours sur l'installation / utilisation de la machine virtuelle ?**

**Démo (?) – Discussion, perspectives (suite...)**

## What is the “manual” semi-automated version of FMNEAR?

### FMNEAR: Focal Mechanism using Near source seismic records

- ❑ Full waveform inversion (in displacement)
- ❑ Works with broadband and strong motion (accelerometers) records at local to regional distance (< 1500 km)
- ❑ Inverts for the double couple focal mechanism only (no CLVD, no isotropic part)  $\leftrightarrow$  shear ruptures on faults
- ❑ Different filtering bands may be used for the N, E, Z components of a given station
- ❑ Can cover a wide magnitude range: from  $M_w < 3$  (favorable cases) to  $M_w > 8$  thanks to the possibility to use either a point source or a linear finite source model
- ❑ Non linear inversion allowing the assessment of the uniqueness of the solution (index of confidence)

Reference : Delouis, B., 2014. FMNEAR: determination of focal mechanism and first estimate of rupture directivity using near source records and a linear distribution of point sources, *Bulletin of the Seismological Society of America*, 104 (3),1479-1500. doi: 10.1785/0120130151

**FMNEAR is one of the the waveform inversion methods that you may be interested in:**

- TDMT Time Domain Moment Tensor Inversion (Dreger and Helmberger, 1993; other Dreger references...)
- W-Phase inversion (Kanamori and Rivera, 2008)
- ISOLA (Sokos and Zaradnik, 2003)
- MecaVel (Martin Vallée)
- Méthode intégrée dans Seiscomp (Gempa)
- ...

## Introduction to the manual inversion (semi-automated approach)

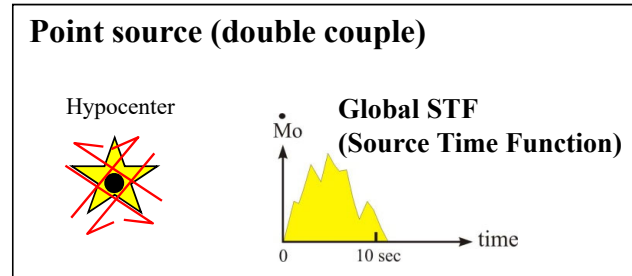
- Manual picking of the P wave
- Visual inspection of the waveforms \*
- Semi-automated inspection of the waveforms for various filtering bands
- Definition of the filtering bands and stations to be incorporated in the inversion
- Launching the FMNEAR inversion proper
- Visual inspection of the result
- Possibility to loop back on filter definition and station selection
- Semi-automated exploration of hypocentral depth

If needed, the epicentral location and velocity model can be modified

\* saturated and/or tilted signals should be identified. They should be later on discarded or used with precaution

# Source model as a function of magnitude

If magnitude  $< 5.5 \rightarrow$  point source



If magnitude  $> 5.5 \rightarrow$  linear extended source

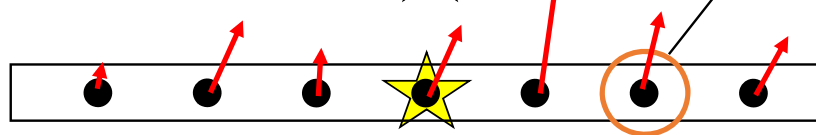
$5.5 < M < 6$



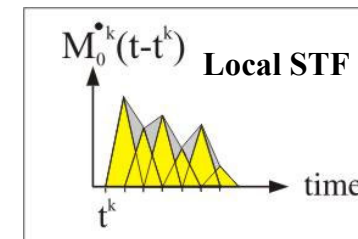
$6 < M < 7$



$7 < M < 7.5$



$M > 7.5$



**Unknowns:** focal mechanism (**strike, dip, rake**) and local STFs

**Computation of synthetic seismograms** : integration of the discrete wavenumber method by Bouchon (1981)

**Velocity model** : 1D model with planar layers

**Misfit function** : 
$$\text{RMS} = \frac{\sqrt{\sum w(\text{obs}-\text{cal})^2}}{\sqrt{\sum w(\text{obs})^2}}$$
 to be minimized

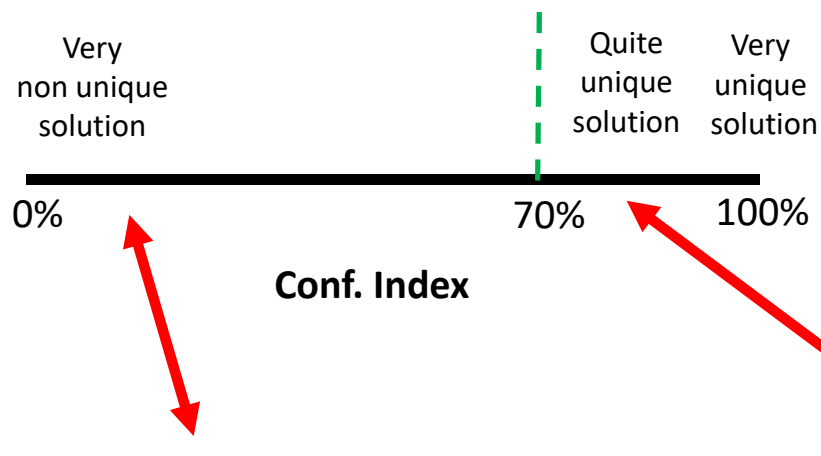
**Station weighting** 'w' : weight increases with distance to compensate amplitude attenuation. Closest stations more severely downweighted to handle possible error in the epicentral location

Bouchon, M. (1981). A simple method to calculate Green's functions for elastic layered media, *Bull. Seismol. Soc. Am.* 71, no. 4, 959–971.

## The Confidence Index (0 – 100%)

A measure of the unicity of the solution for the focal mechanism (FM)

*FMNEAR explores tens of solutions for the FM, each associated with its corresponding RMS misfit value*



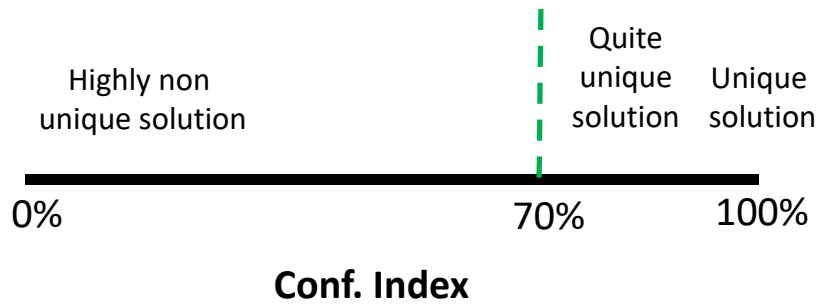
*There are solutions with RMS close to the best RMS value but with focal mechanisms very different from that of the best solution. The solution is not unique and the confidence index is low.*

*All the focal mechanisms found with RMS values close to the best one are close to each other and to the best solution. The solution tends to be unique and the confidence index is high.*



## The Confidence Index:

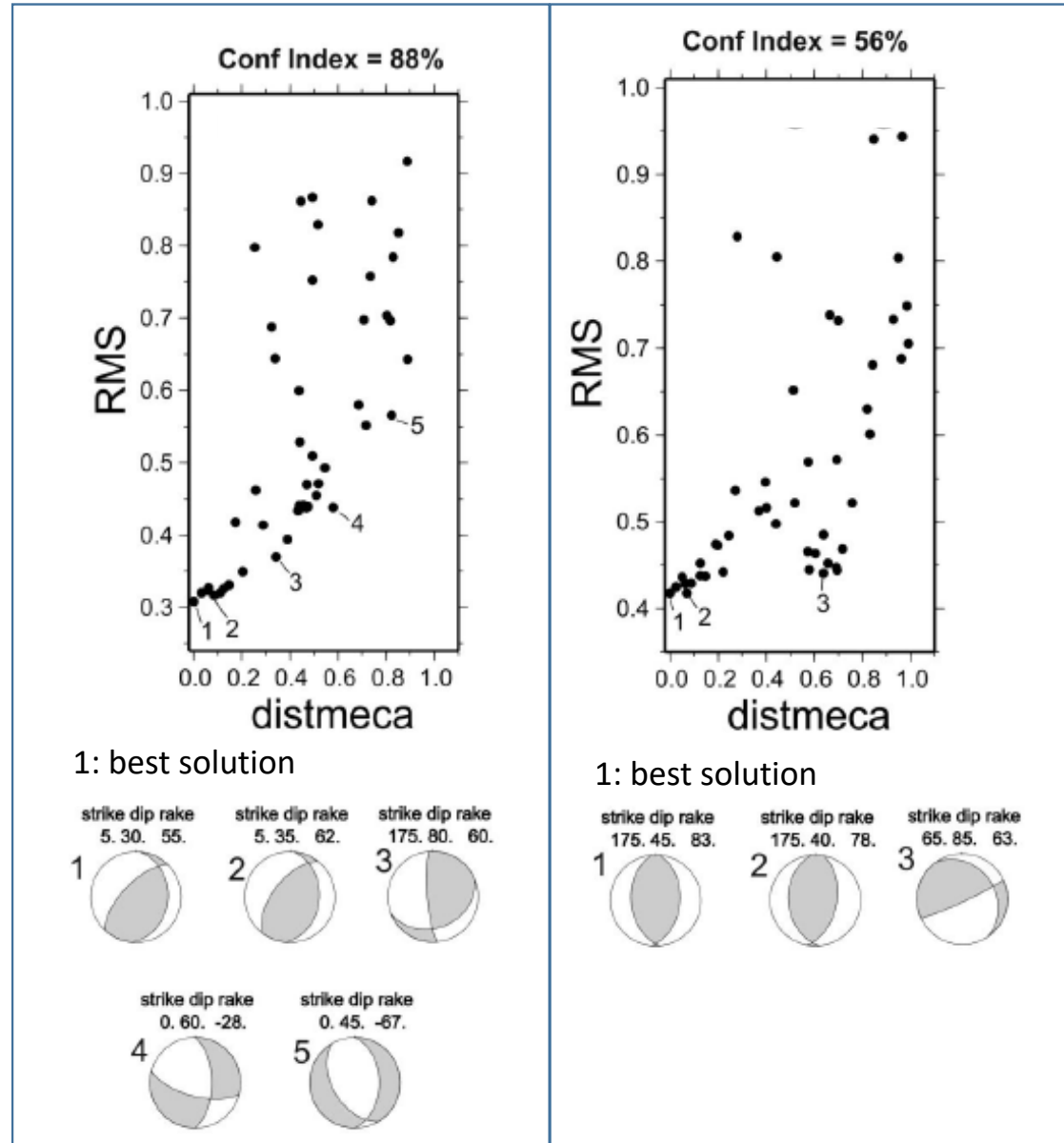
a measure of the unicity of the solution for the focal mechanism (FM)



## distmeca:

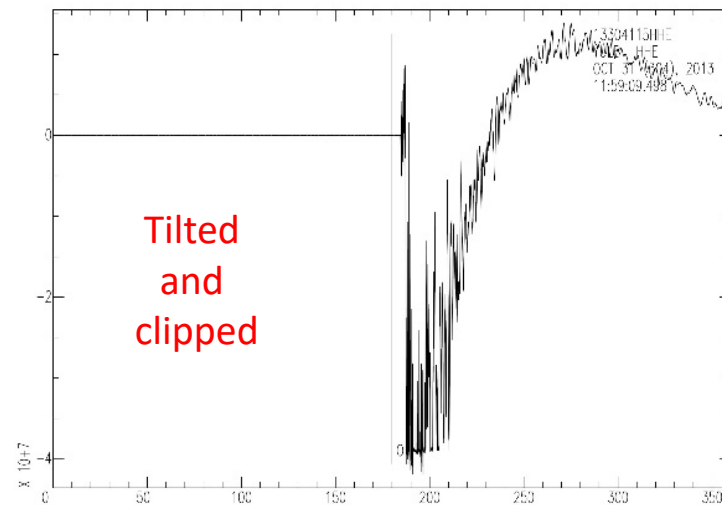
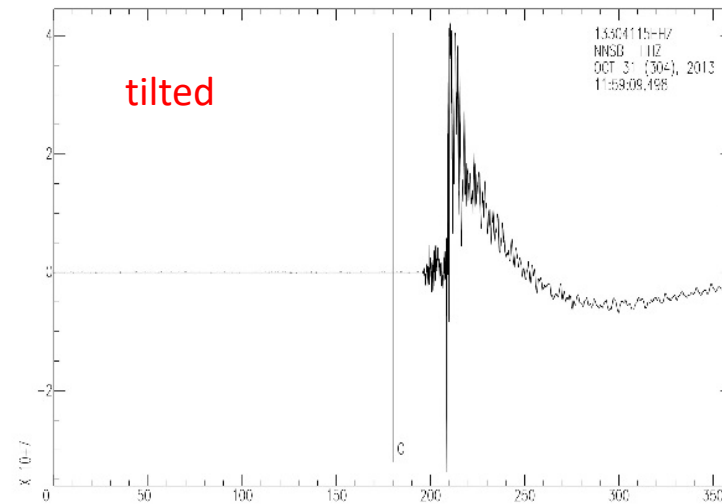
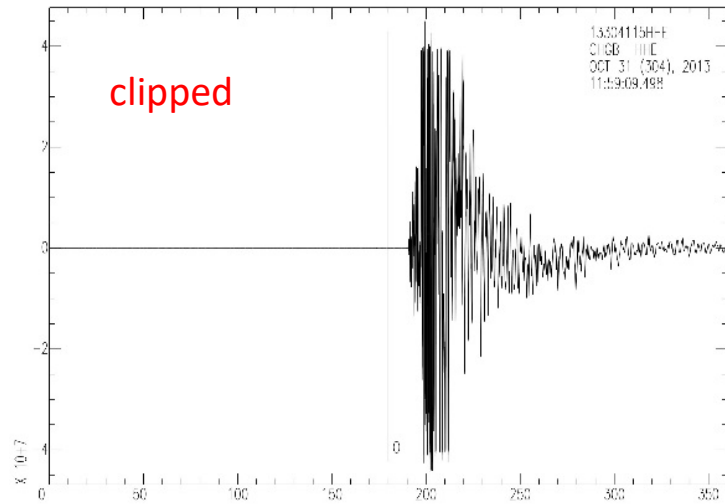
a measure of the distance between two focal mechanisms (FM)

distmeca = 0 → identical FM  
 distmeca = 1 → opposite FM



**Special caution to clipped  
(saturated) and tilted signals**

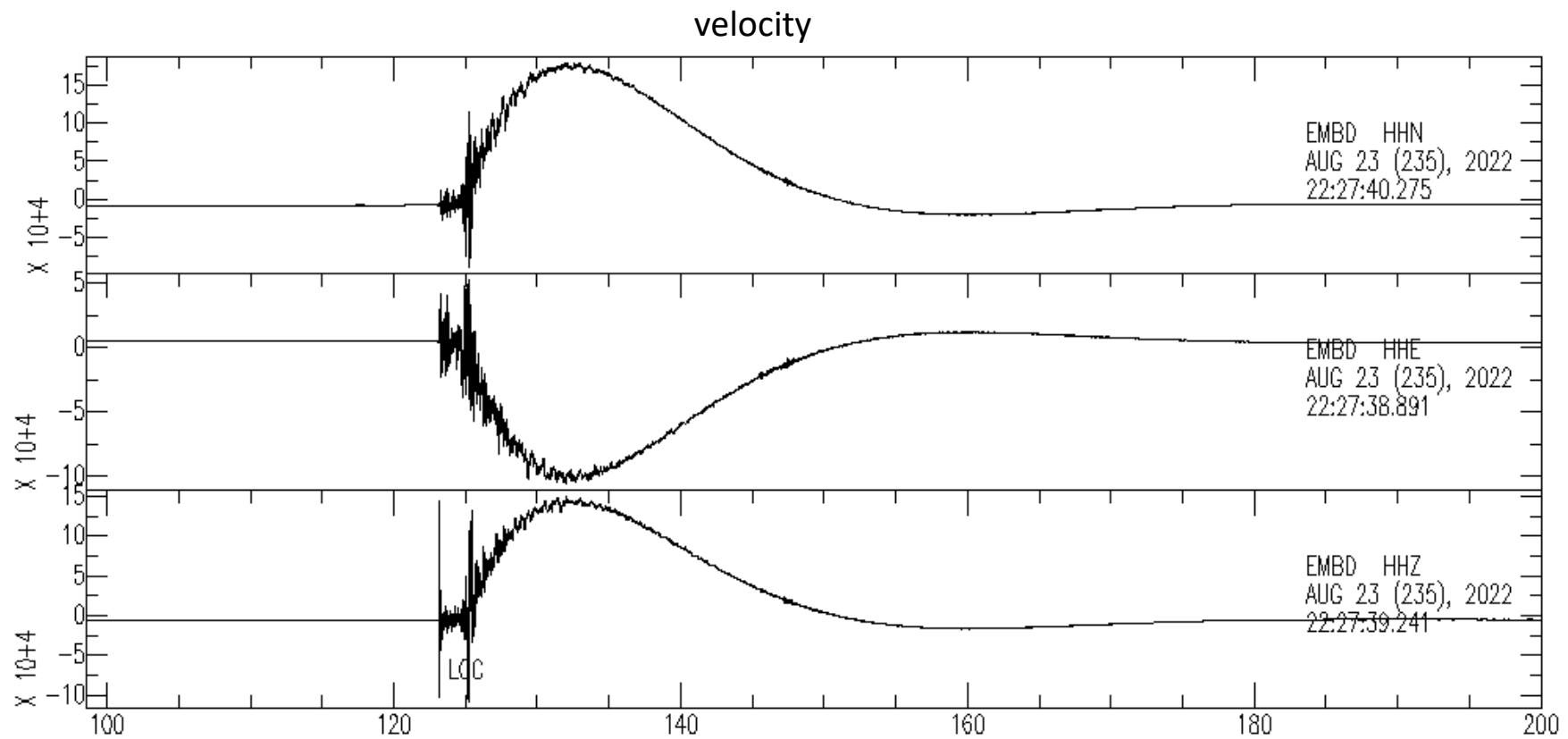
## □ Special caution to clipped (saturated) and tilted signals



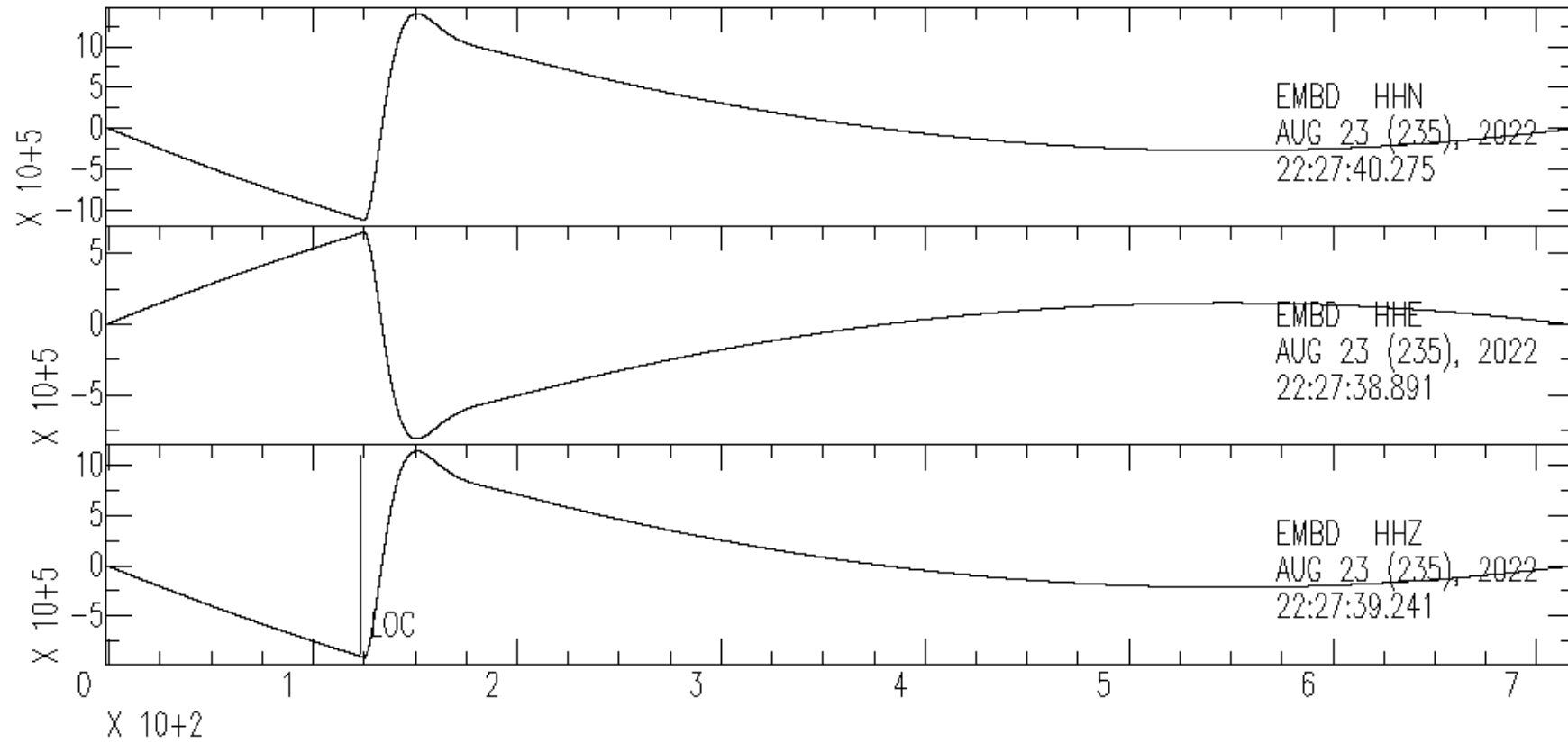
Broadband velocity

## Case where tilting is clear in velocity

station EMBD at 12 km epicentral distance, EQ of Mw 2.8 in Switzerland

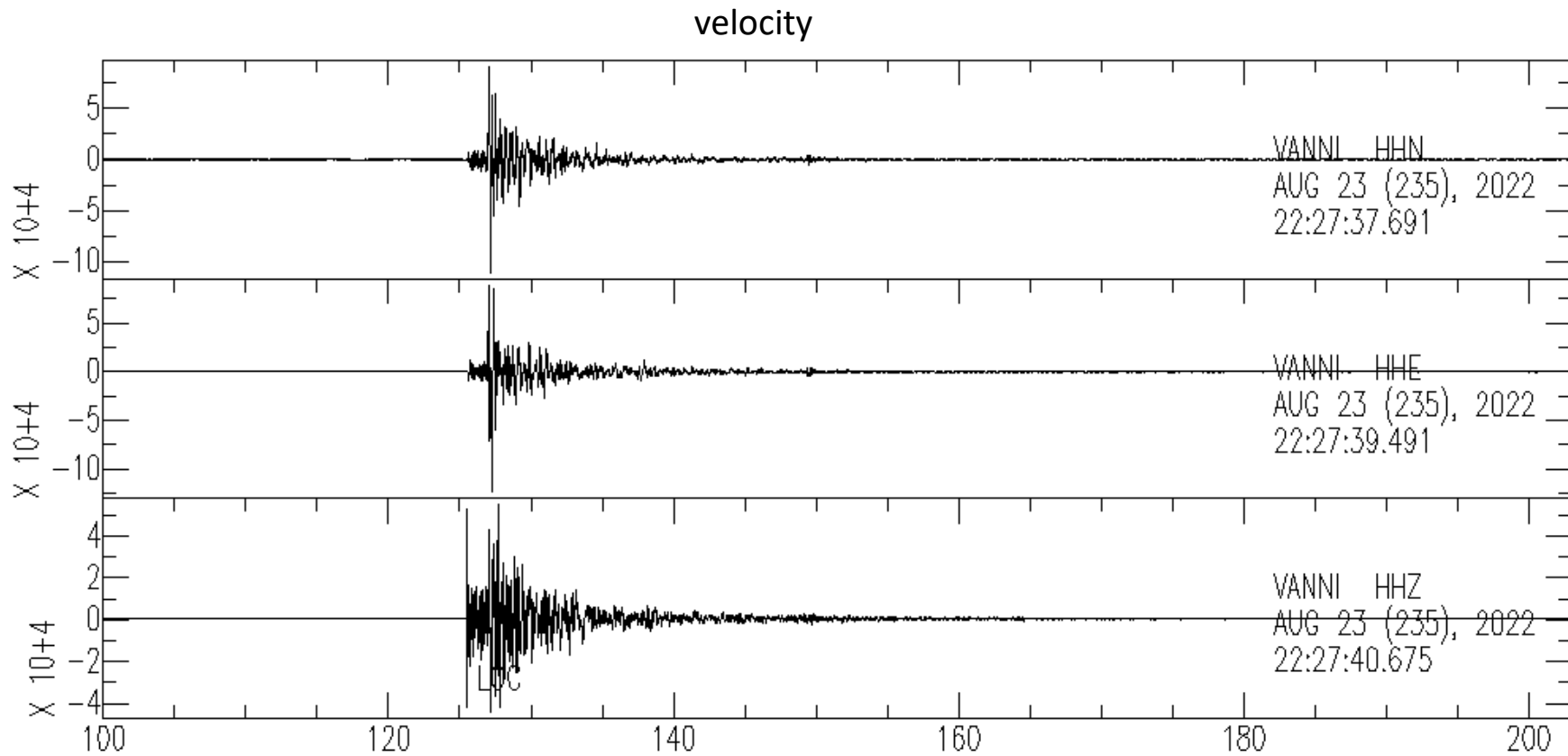


### displacement

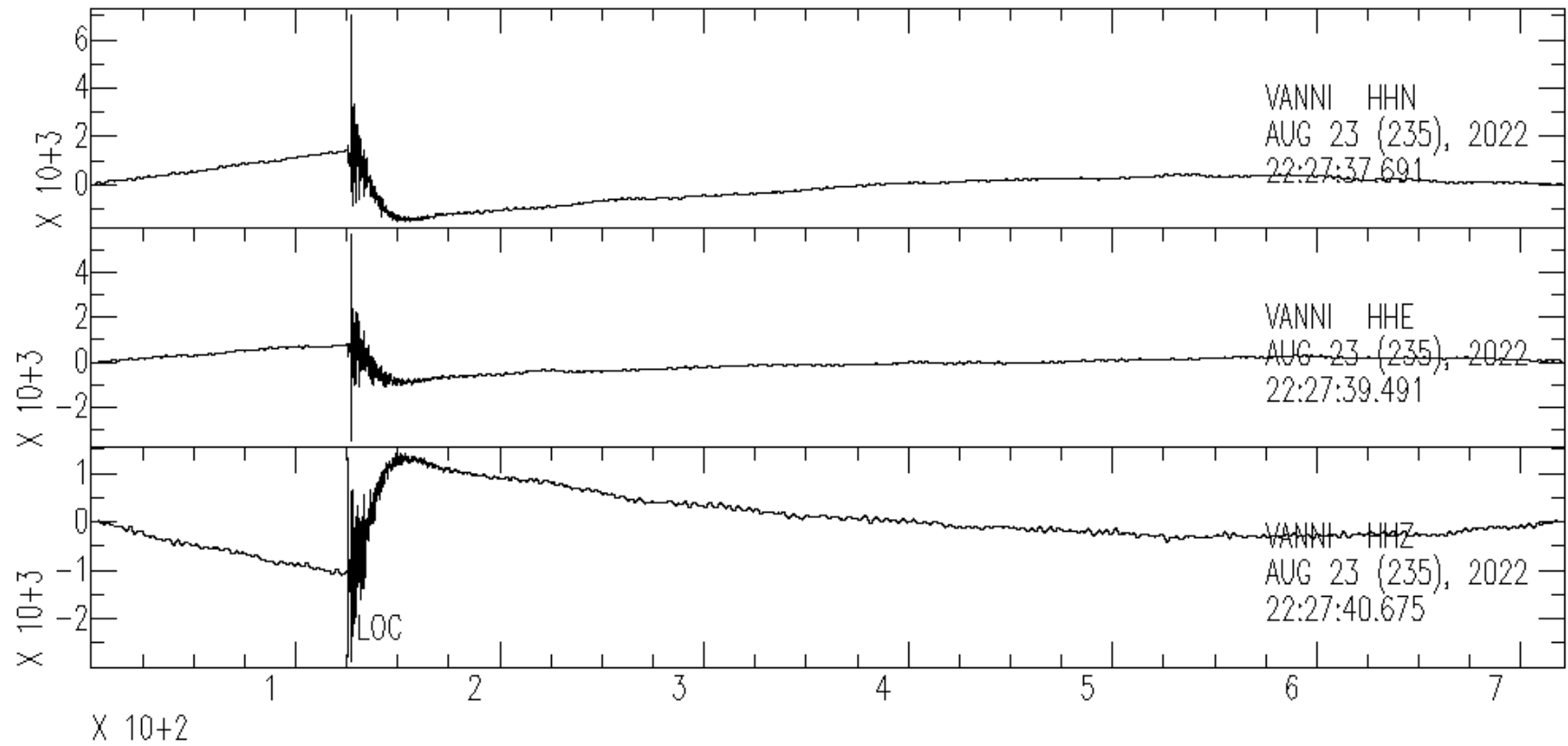


## Case where tilting is not clear in velocity but appears in displacement

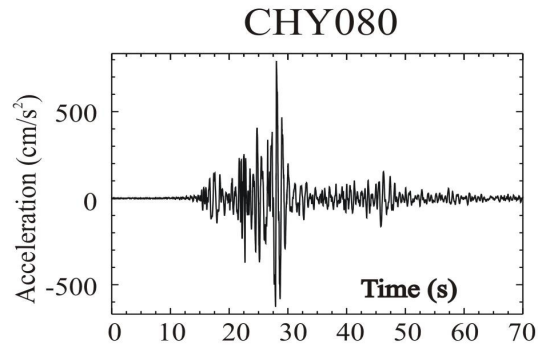
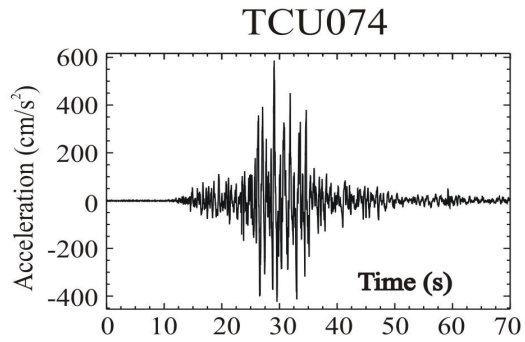
station VANNI at 12 km epicentral distance, EQ of Mw 2.8 in Switzerland



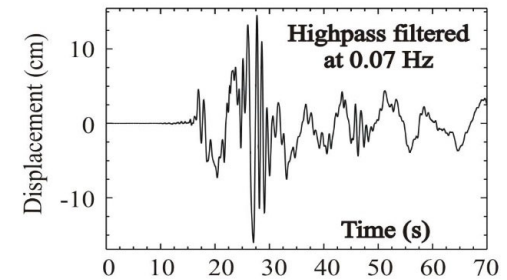
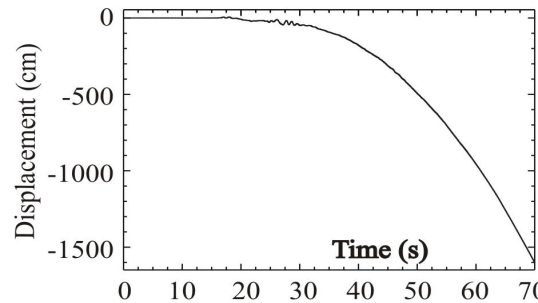
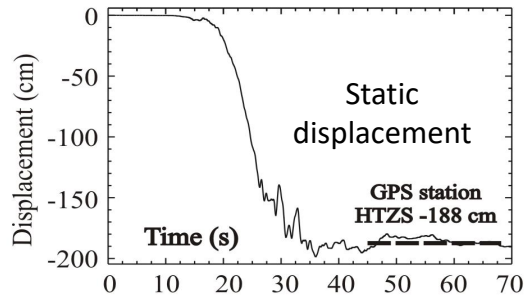
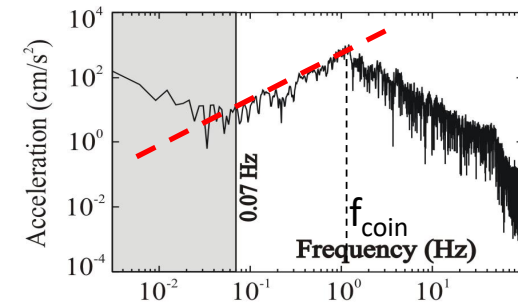
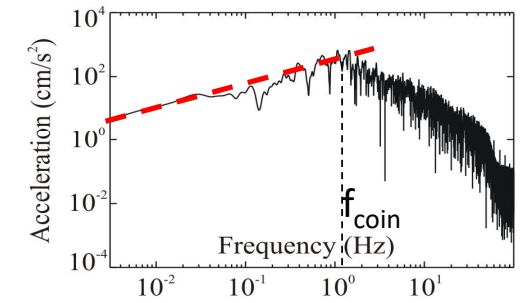
# displacement



**Accelerometers also can suffer tilt issue:**



**1999 ChiChi (Taiwan) EQ (Mw 7.6)**

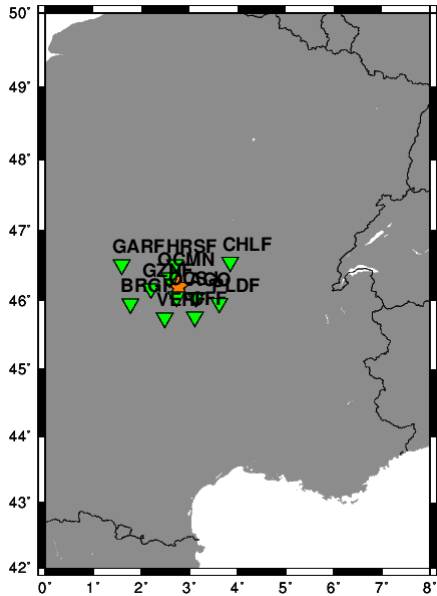


**→ So, strong motion records have to be bandpass filtered as well**

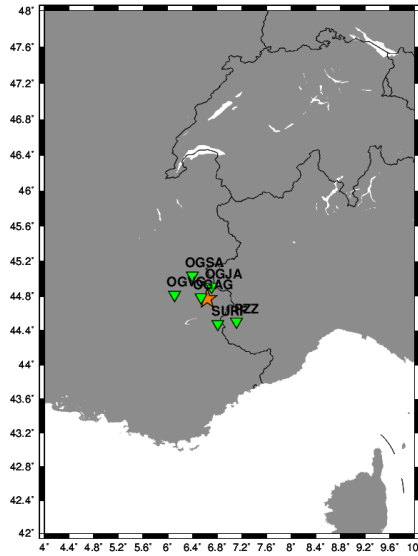


**Bandpass filtering is necessary for two main reasons:**

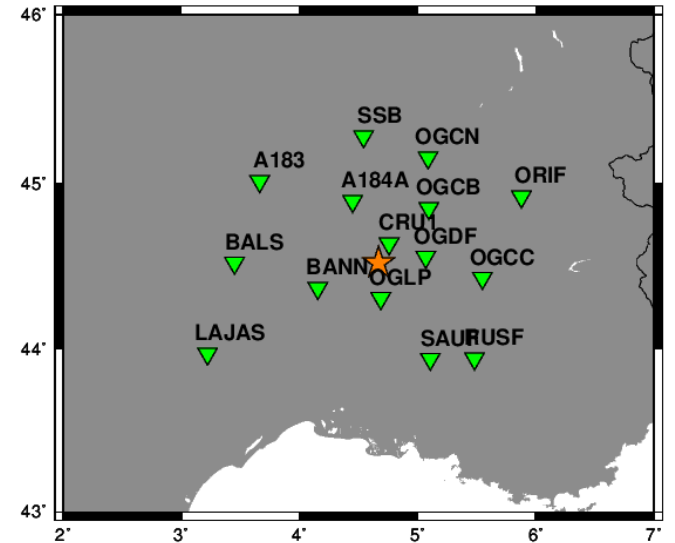
- To eliminate high frequencies that would require too detailed rupture and wave propagation models to be reproduced
- To eliminate low frequencies contaminated by noise



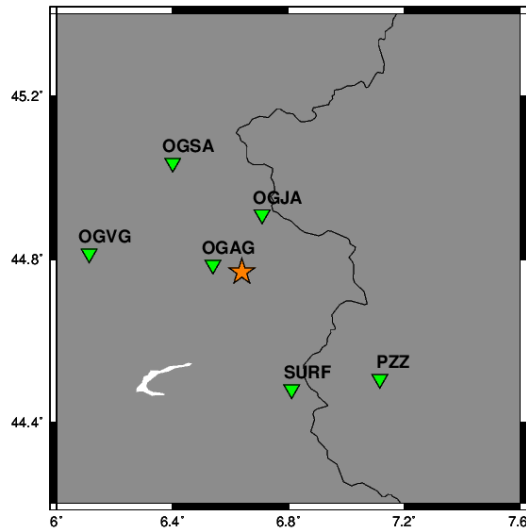
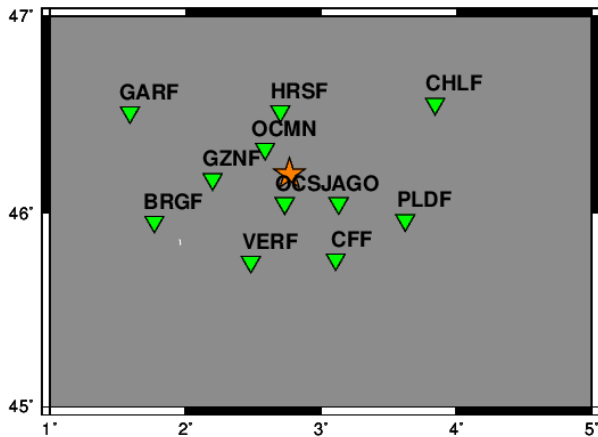
**M4.0 Centre France  
2022/05/09 11:13:40 UTC**



**M 3.5 Alpes  
2022/03/06 02:17:15 UTC**



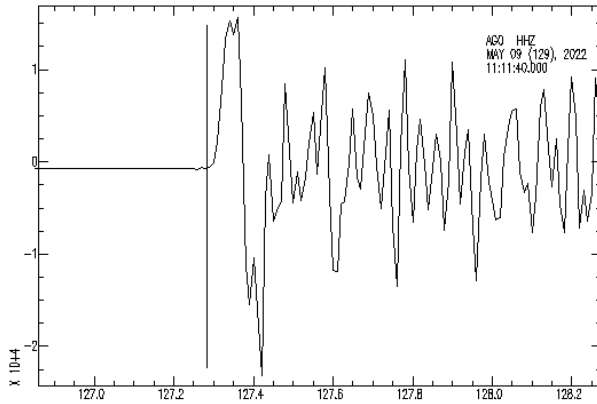
**M 4.9 Le Teil  
2019/11/11 10:52:45 UTC**



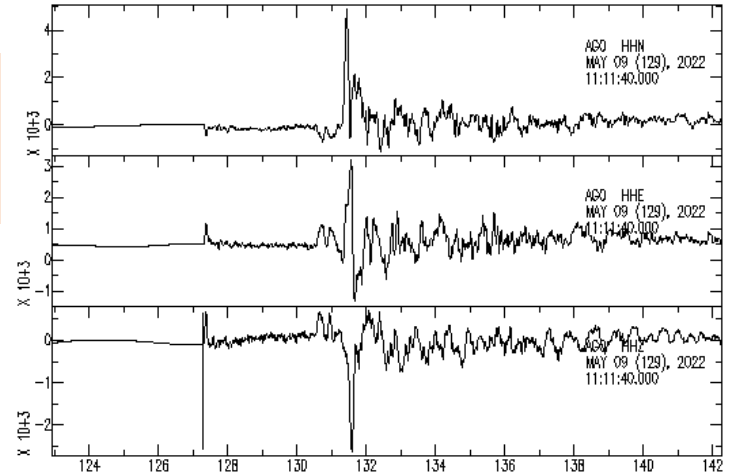
**Trois exemples pour jouer**

```
Terminal
Fichier Édition Affichage Rechercher Terminal Aide
[fmnear@localhost ~]$ ls
archive Bureau Documents Downloads FMNEAR_manual_inversion Images local Modèles Musique Public Téléchargements Vidéos
[fmnear@localhost ~]$ cd FMNEAR_manual_inversion
[fmnear@localhost FMNEAR_manual_inversion]$ ls
20191111105245_M4.9_Le_Teil_pour_jouer 20220509111340_Centre_France_Ml4.0_solution_Bertrand
20191111105245_M4.9_Le_Teil_solution_Bertrand col2sacalpha
20220306021715_Nord_Guillemestre_M3.5_pour_jouer MWFMEAR
20220306021715_Nord_Guillemestre_M3.5_solution_Bertrand prog_proc_special_explore_fixed_depth_filter3
20220509111340_Centre_France_Ml4.0_pour_jouer readme_manual_inversion
[fmnear@localhost FMNEAR_manual_inversion]$
```

### P wave picking

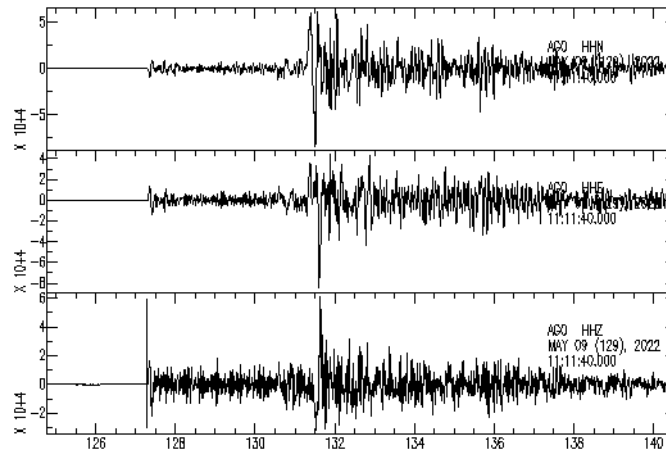


### Identifying possible tilt



Displacement without filter to detect tilting

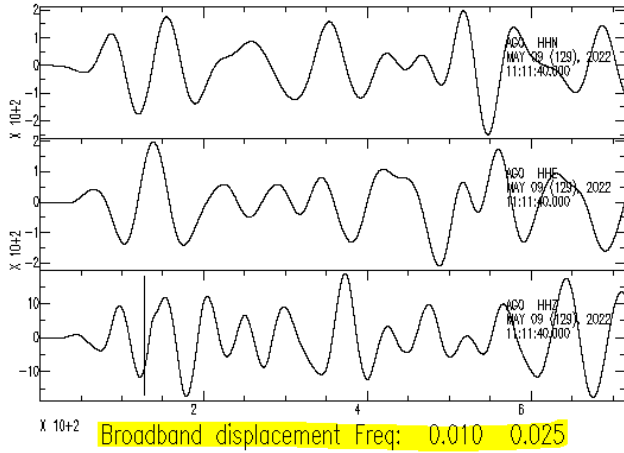
### Identifying possible saturation



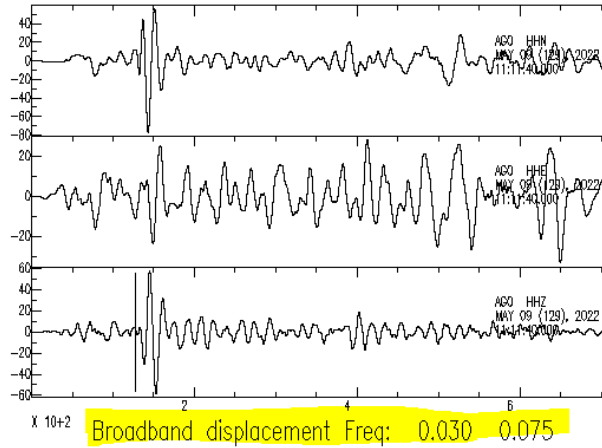
Velocity without filter to detect clipping

### All semi-automated procedure

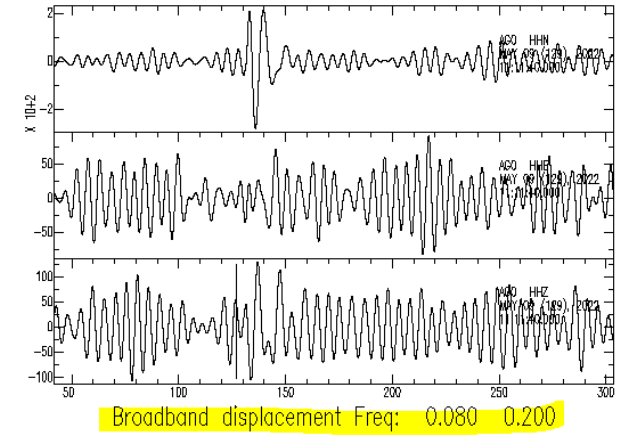
### N, E, Z dominated by noise



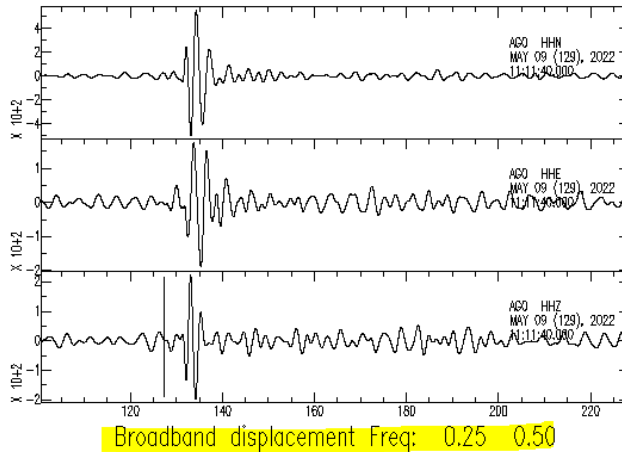
### N and Z OK, E still noisy



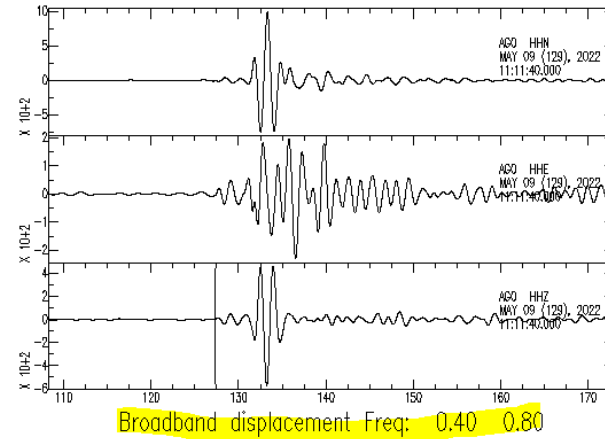
### N partly noisy, E and Z very noisy



### N, E, Z OK



### N and Z OK, E too complex



Semi-automated  
procedure  
running through  
filtering with  
increasing  
frequency bands

Fill file “filter3”  
with the  
frequency band of  
filtering for each  
component

fmin (Hz) fmax (Hz)

0.03	0.075	001v	127.2837	1	vit	AGO	N
0.20	0.400	001v	127.2837	1	vit	AGO	E
0.03	0.075	001v	127.2837	1	vit	AGO	Z
0.04	0.100	002v	135.2572	1	vit	BRGF	N
0.04	0.100	002v	135.2572	1	vit	BRGF	E
0.03	0.075	002v	135.2572	1	vit	BRGF	Z
0.05	0.125	003v	131.1455	1	vit	CFF	N
0.05	0.125	003v	131.1455	1	vit	CFF	E
0.05	0.125	003v	131.1455	1	vit	CFF	Z
0.03	0.075	004v	136.6363	1	vit	CHLF	N
0.03	0.075	004v	136.6363	1	vit	CHLF	E
0.03	0.075	004v	136.6363	1	vit	CHLF	Z
0.03	0.075	005v	137.7521	1	vit	GARF	N
0.04	0.100	005v	137.7521	1	vit	GARF	E
0.04	0.100	005v	137.7521	1	vit	GARF	Z
0.03	0.075	006v	129.2345	1	vit	GZNF	N
0.03	0.075	006v	129.2345	1	vit	GZNF	E
0.03	0.075	006v	129.2345	1	vit	GZNF	Z
0.03	0.075	007v	127.9534	1	vit	HRSF	N
0.03	0.075	007v	127.9534	1	vit	HRSF	E
0.03	0.075	007v	127.9534	1	vit	HRSF	Z
0.25	0.500	008a	125.6696	1	acc	OCMN	N
0.25	0.500	008a	125.6696	1	acc	OCMN	E
0.25	0.500	008a	125.6696	1	acc	OCMN	Z
0.25	0.500	009a	125.1148	1	acc	OCSJ	N
0.25	0.500	009a	125.1148	1	acc	OCSJ	E
0.25	0.500	009a	125.1148	1	acc	OCSJ	Z
0.04	0.100	010v	133.5145	1	vit	PLDF	N
0.04	0.100	010v	133.5145	1	vit	PLDF	E
0.04	0.100	010v	133.5145	1	vit	PLDF	Z
0.04	0.100	011v	130.8331	1	vit	VERF	N
0.04	0.100	011v	130.8331	1	vit	VERF	E
0.04	0.100	011v	130.8331	1	vit	VERF	Z

Define stations  
to be used  
in the inversion  
in file “tpobs”

1 or 0 : used or unused

001v	127.2837	1	vit	AGO
002v	135.2572	1	vit	BRGF
003v	131.1455	1	vit	CFF
004v	136.6363	1	vit	CHLF
005v	137.7521	1	vit	GARF
006v	129.2345	1	vit	GZNF
007v	127.9534	1	vit	HRSF
008a	125.6696	1	acc	OCMN
009a	125.1148	1	acc	OCSJ
010v	133.5145	1	vit	PLDF
011v	130.8331	1	vit	VERF

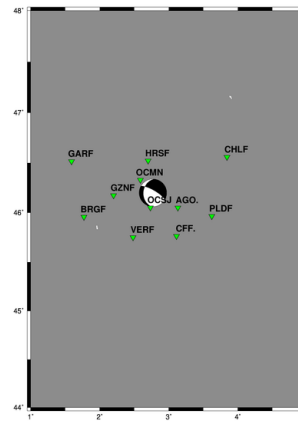
Tp (s)

Stat

Launch the inversion



Visualize result file



M < 5.5, only one point source  
Frequencies too low to constrain the STF

strike dip rake  
300. 75. -119.



strike dip rake  
300.0 75.0 -118.8 : best focal mechanism

RMS = 0.306

Selected depth: 19.0 km

33 : number of components  
91 % : index of confidence

3.35 : Mw from waveform inversion

Epicenter used (lat,lon): 46.200 2.770  
Starting depth(km): 19.0

strike dip rake of the second nodal plane:  
184.7 32.1 -29.1

..... quality: A .....

..... quality: A .....

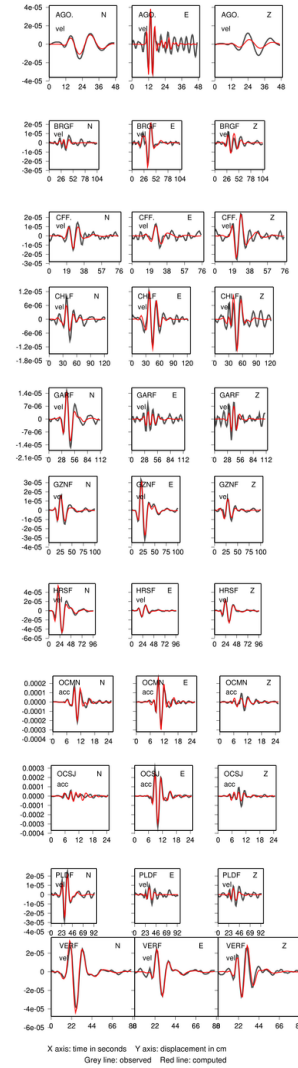
\*\*\*\* Signification of quality \*\*\*\*  
A: focal mechanism STRONGLY CONSTRAINED  
B: focal mechanism WELL CONSTRAINED  
C: focal mechanism MODERATELY CONSTRAINED  
D: focal mechanism WEAKLY CONSTRAINED  
E: focal mechanism BARELY CONSTRAINED  
F: focal mechanism NOT CONSTRAINED

Event directory:  
/home/fmnear/FMNEAR\_manual\_inversion20220509111340\_Centre\_France\_M4.0

Date of computation:  
mar. nov. 1 09:23:39 -03 2023

Method used: FMNEAR

!!!! AUTOMATIC COMPUTATION !!!!



## Result text file

strike dip rake  
300.0 75.0 -118.8 : best focal mechanism

RMS = 0.306

Selected depth: 19.0 km

33 = number of components  
91 % : index of confidence

3.35 : Mw from waveform inversion

Epicenter used (lat,long): 46.200 2.770  
Starting depth(km): 19.0

strike dip rake of the second nodal plane:  
184.7 32.1 -29.1

\*\*\*\*\*  
\*\*\*\*\* quality: A \*\*\*\*\*  
\*\*\*\*\*

\*\*\*\* Signification of quality \*\*\*\*\*  
A: focal mechanism STRONGLY CONSTRAINED  
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C: focal mechanism MODERATELY CONSTRAINED  
D: focal mechanism WEAKLY CONSTRAINED  
E: focal mechanism BARELY CONSTRAINED  
F: focal mechanism NOT CONSTRAINED  
\*\*\*\*\*

## Explanation

*strike, dip rake of nodal plane 1*

*Normalized RMS misfit (to be minimized)*

*Source depth used, as fixed in « locmag » file*

*Number of components included in the inversion*

*Index of confidence (unicity of the solution, best close to 100%)*

*Final moment magnitude Mw*

*Epicenter used, as defined in « locmag » file*

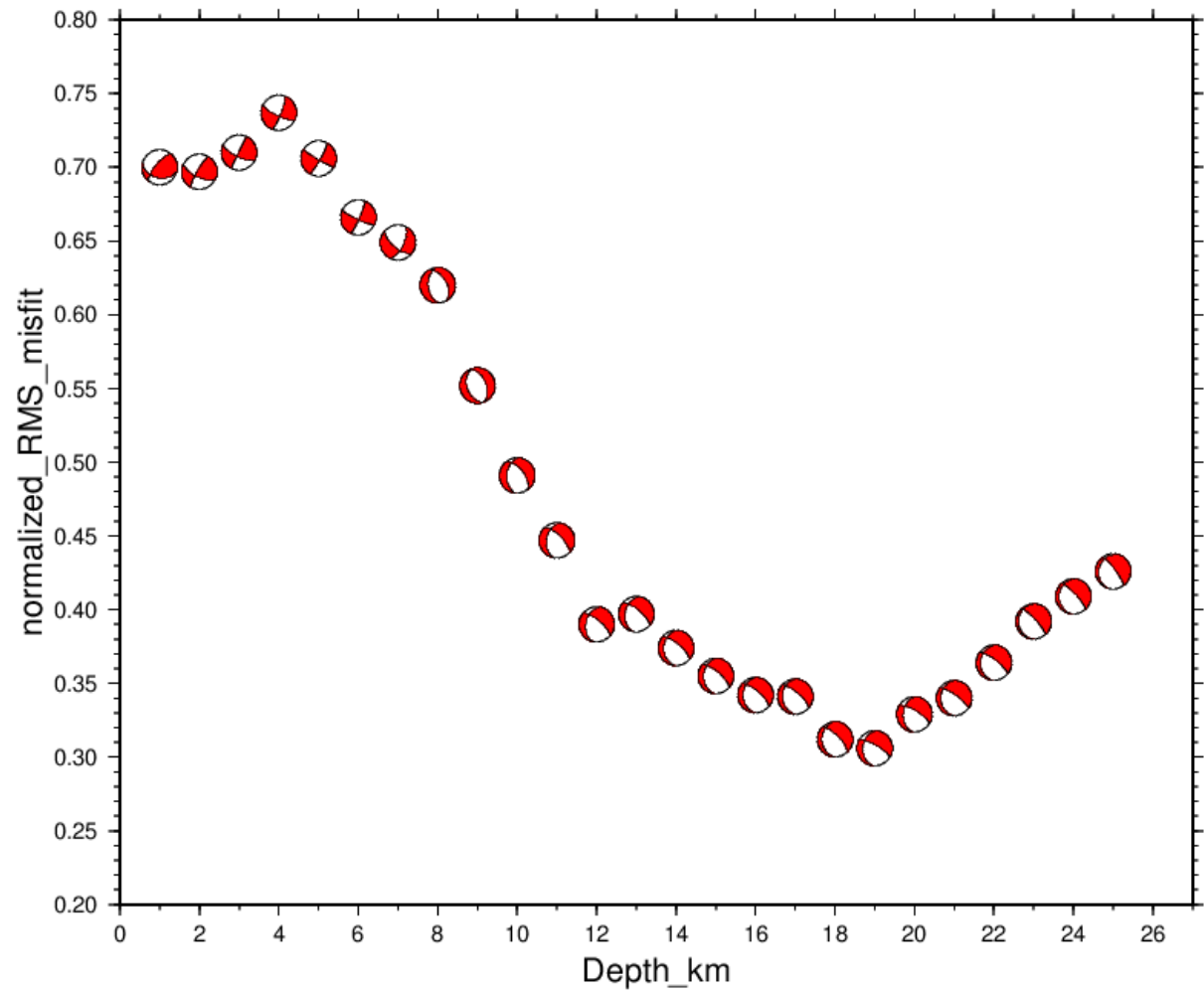
*Starting depth = final depth in this version*

*strike, dip rake of nodal plane 2*

*Global quality (A to F)*



Launch fine exploration of depth



# **Data preparation (data format) and velocity model**

## Data format and information needed: “ Data preparation for FMNEAR ”

Data consist in [linux binary SAC files](#), one per component of each station. [All three components \(N, E, Z\)](#) <sup>(rem 1)</sup> should be provided. Ideally, they should include 120 to 180 s of pre-event signal (before earthquake origin time or before the first P wave arrival).

The SAC header variables [stla](#), [stlo](#), [evla](#), [evlo](#), [evdp](#), [scale](#), and [mag](#) should be filled.

[stla](#): station latitude [stlo](#): station longitude

[evla](#): event latitude [evlo](#): event longitude [evdp](#): event depth (km)

All latitudes and longitudes should be in degrees. Latitude > 0 for North, latitude < 0 for South, longitude > 0 for East, longitude < 0 for West.

[scale](#): sensitivity

Sensitivity is the factor by which amplitudes in counts should be divided to obtain amplitudes in m (meters). It corresponds to the overall amplification of the signal in the flat part (plateau) of the global response of a broadband seismic station. By broadband we include here broadband velocimeters and broadband accelerometers. The value of sensitivity is read on the vertical component only, and applied to all 3 components (it is assumed that the sensitivity is similar for all three components).

**mag**: a priori (initial) magnitude of the earthquake ( $M_w$  if known, otherwise any kind of magnitude determined for the earthquake).

NB: The SAC variable « lovrok » should be set to « true » for all channels (generally it is so, but if you generate yourself the SAC files from ascii files, this may not be the case). It permits to overwrite the file on disk.

The names of the SAC files should follow a specific format:

XX.STAT..HHN.XXXXX.XXXXX.SAC XX.STAT..HHE.XXXXX.XXXXX.SAC XX.STAT..HHZ.XXXXX.XXXXX.SAC for the three components in case of velocity. The letter after “HH” indicates the component (N, E, or Z).

NB: even if the record is originally a BH or LH channel, it should be HH in the final name of the SAC file.

XX.STAT..HNN.XXXXX.XXXXX.SAC XX.STAT..HNE.XXXXX.XXXXX.SAC XX.STAT..HNZ.XXXXX.XXXXX.SAC for the three components in case of acceleration. The letter after “HN” indicates the component (N, E, or Z).

NB: even if the record is originally a BN or LN channel, it should be HN in the final name of the SAC file.

STAT is the station name, it should be 3 to 5 letters long.

Take care to use double point after the station name.

Rem 1: The FMNEAR codes will not work properly if the components are not correctly oriented with the correct polarity (sign). The correct orientation and polarity corresponds to positive amplitude towards the North, East and Up.

Rem 2: Errors in the sensitivity values (scale parameter) will affect also the results

## Format of the velocity model:

Here is the default velocity model:

```
5
 0.60    3.30    1.90    2.00    200.00   100.00
 1.40    4.50    2.60    2.30    350.00   175.00
 3.00    5.50    3.18    2.50    500.00   250.00
25.00    6.50    3.75    2.90    600.00   300.00
 0.00    8.10    4.68    3.30   1000.00   500.00
```

The default model is contained in file “**model\_vel\_base**”. To change the velocity model, modify this file.

First line: number of layers. Accepted values are 1 to 10. Integer value.

Next lines: layer thickness (km),  $V_p$  (km/s),  $V_s$  (km/s), density ( $\text{g/cm}^3$ ),  $Q_p$ ,  $Q_s$ . Real values. Spacing between values is free, as is the number of decimals. However, length of lines should not exceed 80 characters.

The last line with “0” thickness is for the mantle, considered as a half-space.

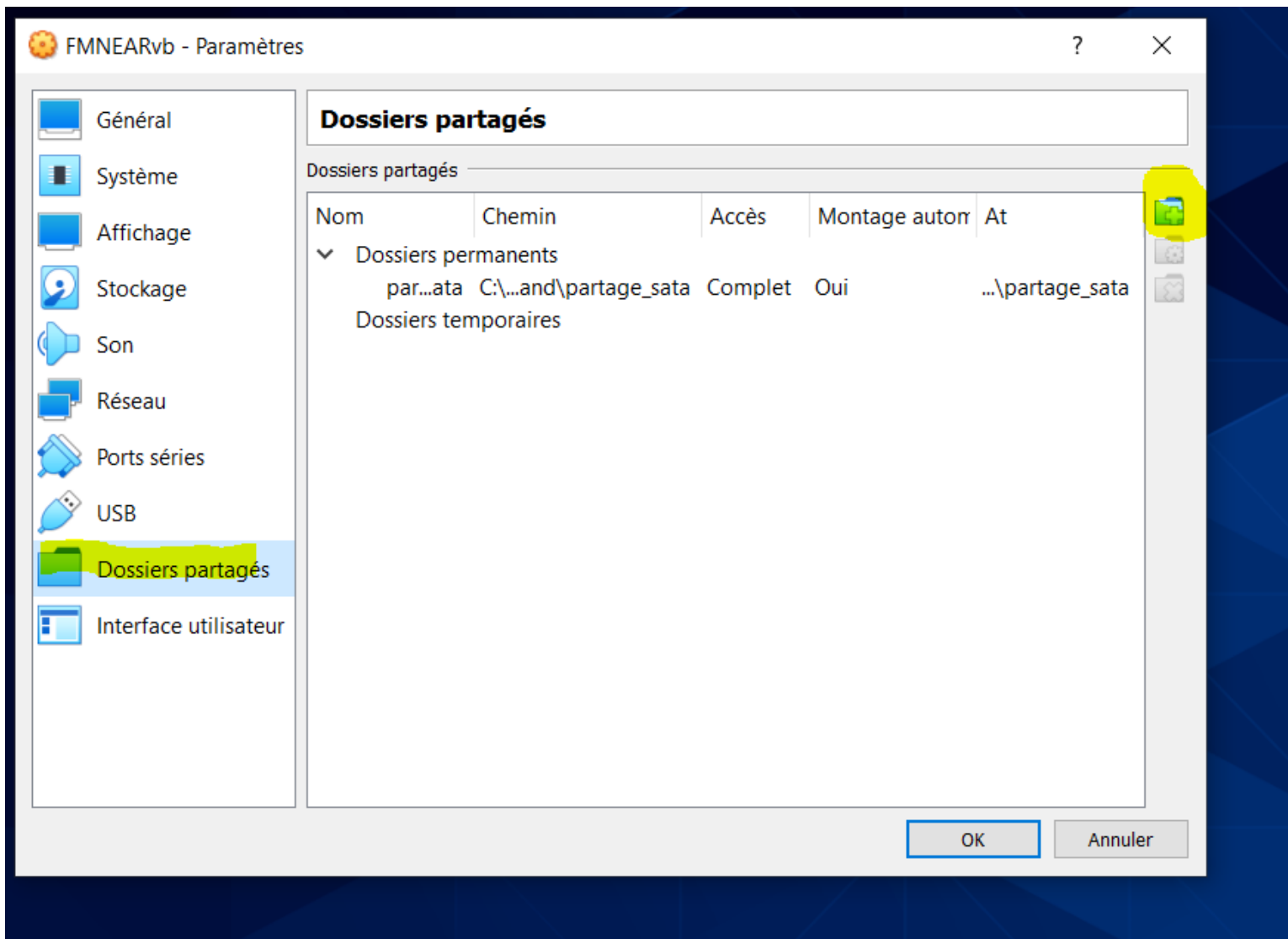
Take care not to include a blank line at the end of the velocity model, it will produce an error.

**!!! The velocity model should not include velocity inversions. Velocity should be increasing with depth. Parts of the FMNEAR codes cannot handle velocity inversions, so that they will produce errors in the results !!!**



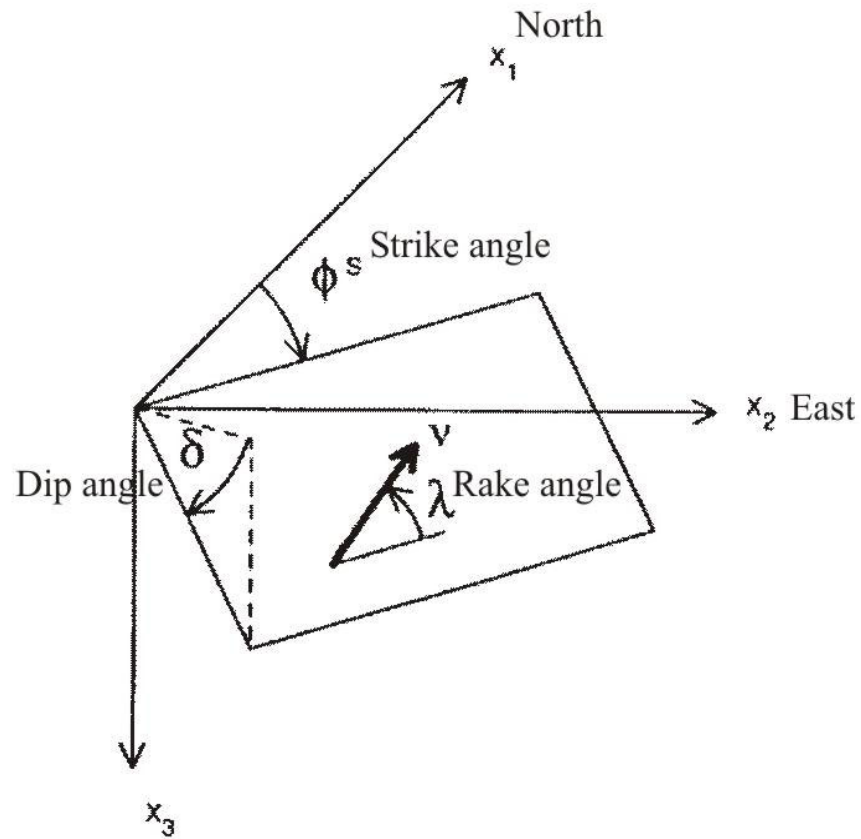
# Annexes





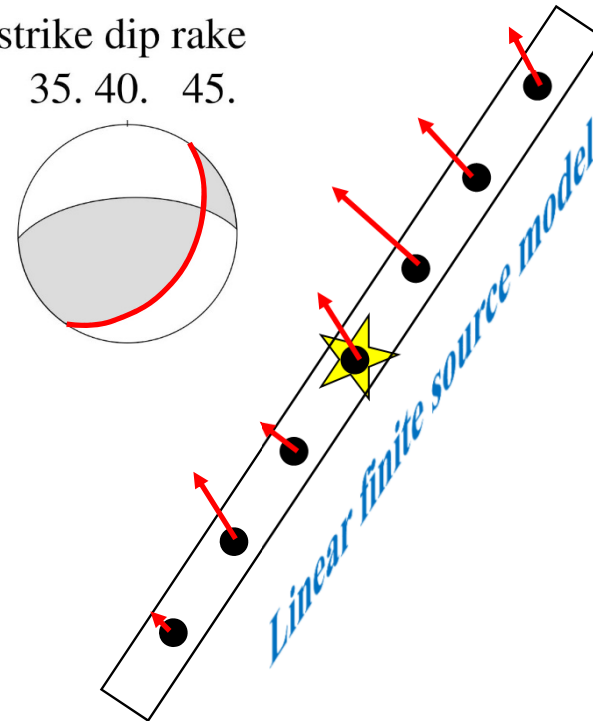
Possibilité d'ajouter des dossiers partagés

Au besoin, le mot de passe root est : fmnear



Example: testing of a line of point sources along strike N35°

strike dip rake  
35. 40. 45.



« distmeca »

$$\text{Distance (FM1 - FM2)} = \frac{1}{m} \sum_{i=1}^m \text{ABS} (\text{amp}_{\text{FM1}} - \text{amp}_{\text{FM2}})$$

ABS: absolute value

m: number of points sampling the focal sphere

$$\text{ampFM} = 2 (\vec{v} \cdot \vec{\gamma}) (\vec{n} \cdot \vec{\gamma}) = \text{radiation factor of the P wave}^*$$

$\vec{n}$ : unit vector normal to the nodal plane

$\vec{v}$ : unit slip vector on the nodal plane

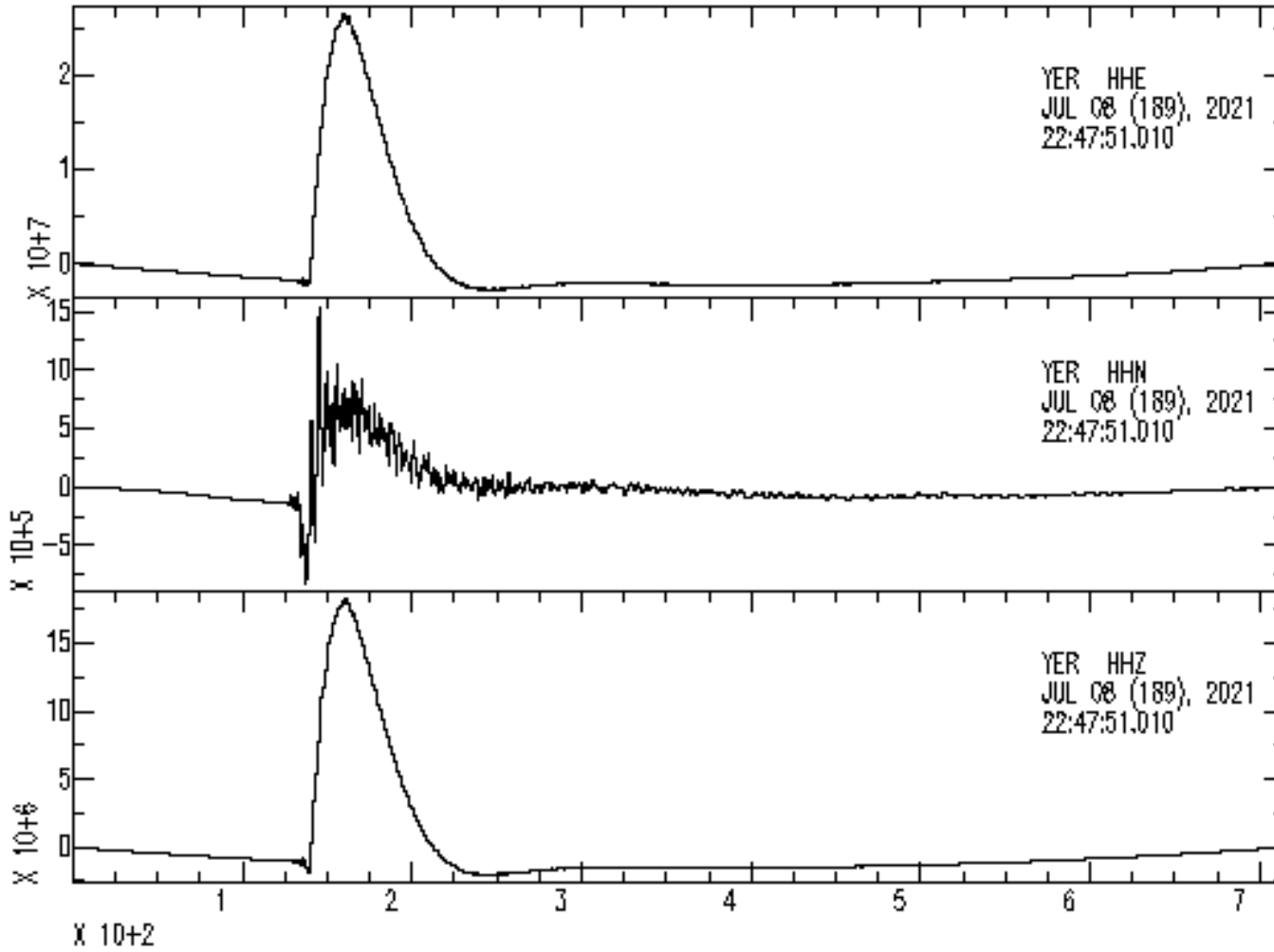
$\vec{\gamma}$ : unit vector defining the take-off direction

Examples

FM1	FM2	Dist	FM1	FM2	Dist
strike dip rake 45. 45. 90. 	strike dip rake 45. 45. 90. 	0	strike dip rake 45. 45. 90. 	strike dip rake 45. 80. 90. 	0.45
strike dip rake 45. 45. 90. 	strike dip rake 45. 45. -90. 	1	strike dip rake 45. 90. 0. 	strike dip rake 0. 90. 0. 	0.62
strike dip rake 45. 45. 90. 	strike dip rake 135. 45. -90. 	0.95	strike dip rake 90. 60. 150. 	strike dip rake 110. 60. -150. 	0.47
strike dip rake 45. 45. 90. 	strike dip rake 135. 45. 90. 	0.43	strike dip rake 90. 60. 150. 	strike dip rake 110. 60. 30. 	0.73
strike dip rake 45. 45. 90. 	strike dip rake 90. 45. 90. 	0.31			

\* Aki, K. and P. G. Richards (1980). *Quantitative Seismology*, Vol. 1, W. H. Freeman and Co., San Francisco, 512 pp.

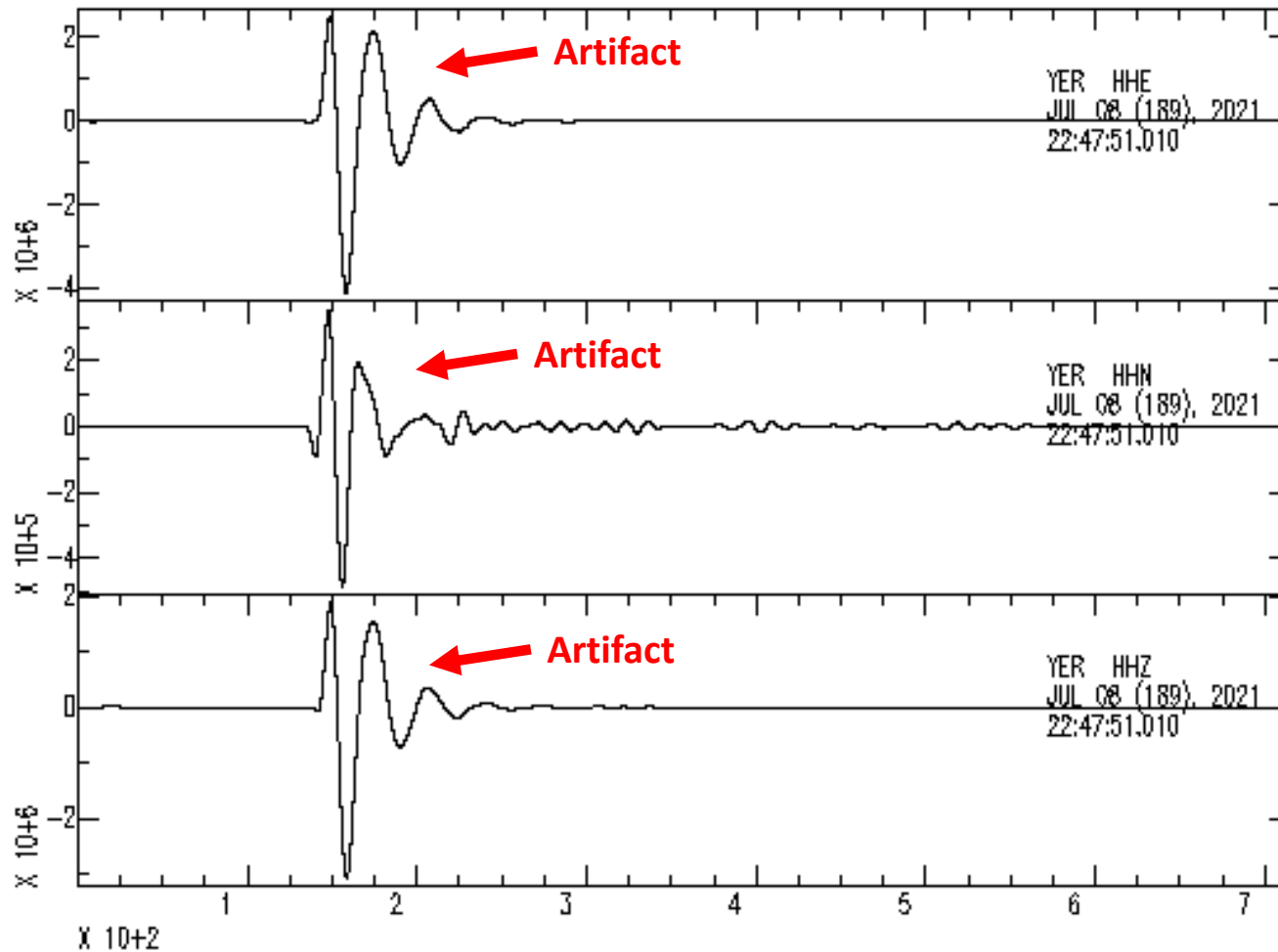
### Mw 5.8 Nevada



Displacement records

**all comp  
clearly tilted  
(mostly E and Z)**

## Mw 5.8 Nevada



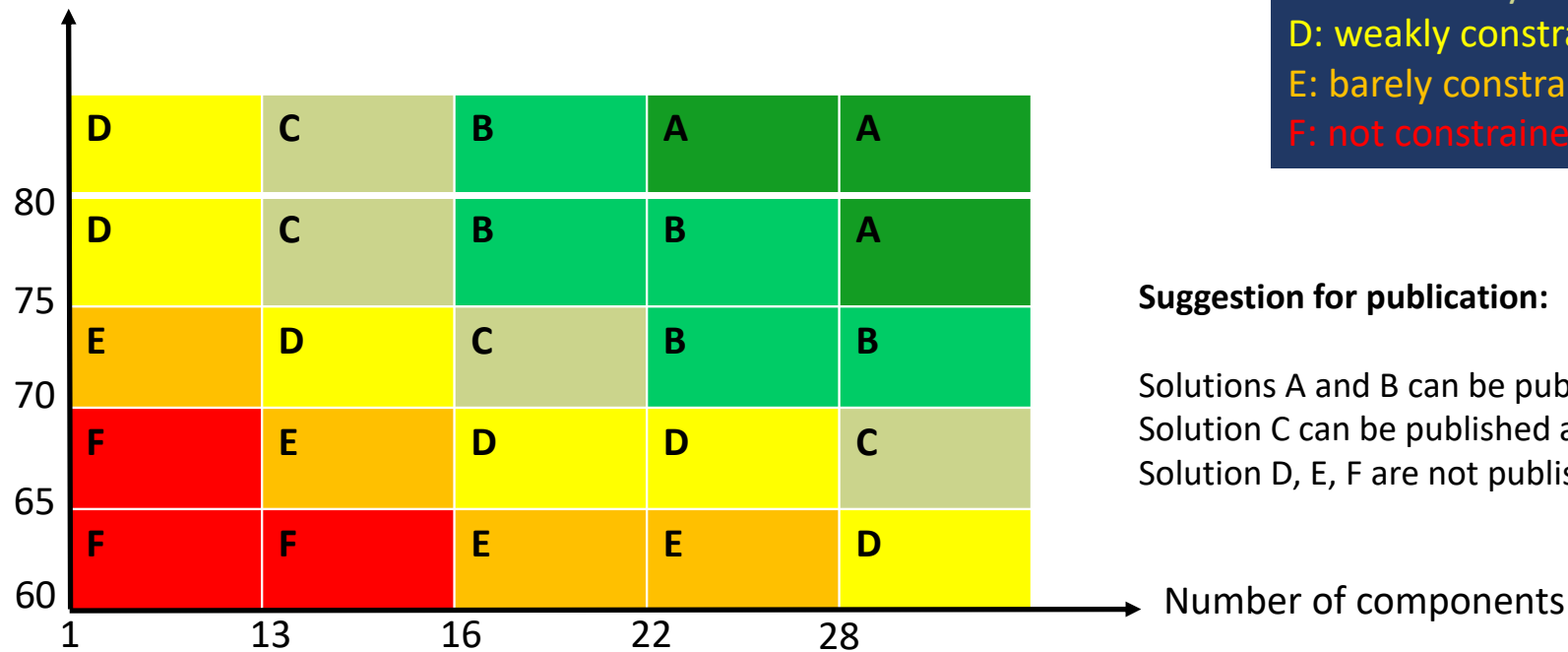
## Displacement records

Same as previous slide  
but filtered between 0.03 0.08 Hz

See that all components  
show similar shapes, especially  
E and Z.

And the much larger amplitudes  
for E and Z, so that it is clear  
that after passband filtering, the  
amplitude is biased proportionally  
to the importance of the tilt effect

Index conf. (%)



A: strongly constrained  
B: well constrained  
C: moderately constrained  
D: weakly constrained  
E: barely constrained  
F: not constrained

**Suggestion for publication:**

Solutions A and B can be published  
Solution C can be published after visual inspection  
Solution D, E, F are not published

**Additional conditions:**

- if qual=A or B and rms > 0.7 then qual=C
- if qual=A and rms > 0.65 then qual=B
- Conditions on primary and secondary gaps : if bad, cannot be better than C